

12/21/01

14054 U.S. PTO

12-28-01

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Approved for use through 10/31/2002 OMB 0651-0032

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

99-024 CON

First Inventor

MARY M. BENDIK

Title

DOCUMENT MANAGEMENT SYSTEMS AND METHODS

Express Mail Label No.

EL903259845US

10/02/2001

12/21/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **63**]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets **12**]
5. ☐ Oath or Declaration [Total Pages **6**]
- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☐ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)of prior application No. **09** / **378,785**

Prior application information:

Examiner **CONG-LAC HUYNH**Group Art Unit. **2176**

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☐

Correspondence address below

Name

HENRY E. BARTONY, JR.

BARTONY & HARE

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HENRY E. BARTONY, JR.

Registration No. (Attorney/Agent)

34,772

Signature

Date

12/21/2001

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$ 1,094.00

Complete if Known

Application Number	TO BE ASSIGNED
Filing Date	DECEMBER 21, 2001
First Named Inventor	MARY M. BENDIK
Examiner Name	TO BE ASSIGNED
Group Art Unit	TO BE ASSIGNED
Attorney Docket No.	99-024 CON

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to

Deposit
Account
Number

02-1065

Deposit
Account
Name

BARTONY & HARE

- ☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

- ☒ Applicant claims small entity status.
See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
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101 710	201 355	Utility filing fee	370.00
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106 320	206 160	Design filing fee	
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107 490	207 245	Plant filing fee	
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108 710	208 355	Reissue filing fee	
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114 150	214 75	Provisional filing fee	
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SUBTOTAL (1) (\$ 370.00

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
26	-20** = 6	9.00	54.00
8	-3** = 5	42.00	210.00
Multiple Dependent		0.00	0.00

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
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103 18	203 9	Claims in excess of 20
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102 80	202 40	Independent claims in excess of 3
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104 270	204 135	Multiple dependent claim, if not paid
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109 80	209 40	** Reissue independent claims over original patent
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110 18	210 9	** Reissue claims in excess of 20 and over original patent
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SUBTOTAL (2) (\$ 264.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity
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Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
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105 130	205 65	Surcharge - late filing fee or oath	
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127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
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139 130	139 130	Non-English specification	
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147 2,520	147 2,520	For filing a request for ex parte reexamination	
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112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
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113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
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115 110	215 55	Extension for reply within first month	
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116 390	216 195	Extension for reply within second month	
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117 890	217 445	Extension for reply within third month	460.00
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118 1,390	218 695	Extension for reply within fourth month	
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128 1,890	228 945	Extension for reply within fifth month	
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119 310	219 155	Notice of Appeal	
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120 310	220 155	Filing a brief in support of an appeal	
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121 270	221 135	Request for oral hearing	
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138 1,510	138 1,510	Petition to institute a public use proceeding	
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140 110	240 55	Petition to revive - unavoidable	
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141 1,240	241 620	Petition to revive - unintentional	
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142 1,240	242 620	Utility issue fee (or reissue)	
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143 440	243 220	Design issue fee	
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144 600	244 300	Plant issue fee	
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122 130	122 130	Petitions to the Commissioner	
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123 50	123 50	Processing fee under 37 CFR 1.17(q)	
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126 180	126 180	Submission of Information Disclosure Stmt	
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581 40	581 40	Recording each patent assignment per property (times number of properties)	
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146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
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149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
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179 710	279 355	Request for Continued Examination (RCE)	
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169 900	169 900	Request for expedited examination of a design application	
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Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 460.00

SUBMITTED BY

Name (Print/Type)

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Registration No.
(Attorney/Agent)

34,772

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Telephone

412/338-8632

Signature

Henry E. Bartony, Jr.

Date

DECEMBER 21, 2001

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